

1. Case Warning Mechanism:

- Risk Warning Meetings: The compliance management department is responsible for organizing and convening relevant business management departments, with a primary focus on the risks associated with repeat cases.
- Risk Prevention Training: During the warning meetings, the compliance management department organizes risk prevention training sessions and formulates corresponding rectification plans to address identified risk points, particularly in the case of repeat incidents, in order to reduce the likelihood of similar cases occurring in the future.

2. Case Management Mechanism:

- One Case, One Policy: The company implements a "one case, one policy" principle, developing personalized response strategies based on the specific circumstances of each litigation case, ensuring the effectiveness and relevance of the response measures.
- Organizational Structure and Responsibility Allocation: To ensure the effective management of litigation cases, the company has established a clear organizational structure and defined responsibilities. Each litigation case is assigned a dedicated person responsible for ensuring that every stage, from problem identification to resolution, is managed by an accountable party.
- Risk Assessment Mechanism: During the litigation case response process, the compliance management department conducts detailed risk assessments, identifying potential legal risks in advance and formulating appropriate response plans.

3. Continuous Case Management and Legal Advisor Involvement:

- **Ongoing Management:** The company adopts a continuous case management model, ensuring that every case remains within controlled parameters from start to finish, allowing for prompt responses to any unexpected situations.
- **Legal Advisor Involvement:** Legal advisors play a crucial role throughout the entire case management process, providing professional legal opinions to ensure that the company complies with legal requirements when handling litigation cases, thereby minimizing legal risks.

4. Outcome and Feedback:

- **Case Outcome Feedback Mechanism:** After the conclusion of each litigation case, the compliance management department reviews the entire process, analyzes any issues encountered, proposes improvements, and creates a case library for future reference.
- **Continuous Improvement:** Through continuous review and feedback, the company consistently optimizes its litigation case management mechanism, gradually enhancing internal management standards and reducing the occurrence of repeat cases.